

PRODUCT BROCHURE

# SETTLEMENT MANAGER

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As an operations manager, you need a solution that effectively and efficiently manages and monitors your financial settlements with other market parties and ensures compliance with market practices and regulations. Settlement Manager simplifies all your post-trade processing tasks and automates matching, confirmation and settlement as well as integration with third-party service providers.

SETTLEMENT  
MANAGER

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# SETTLEMENT MANAGER: SIMPLIFY AND AUTOMATE POST-TRADE PROCESSING TASKS

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As an operations manager engaged in the settlement process, you know the pressure is on you to find ways to increase post-trade processing efficiencies and reduce costs. To achieve these aims, standardization and automation are of paramount importance. Settlement Manager provides you with the ideal platform for generating efficiencies, trimming costs, and reducing risk. The powerful tool eliminates some of the most commonly encountered settlement problems including inefficiencies in the processes and workflows that deal with matching, confirmation, and reconciliation.

## **HANDLE POST-TRADE PROCESSING AND INSTRUMENTS EFFICIENTLY**

In your everyday operations work, you know you need to keep track of the various elements involved in the settlement process, covering virtually all types of transactions, e.g. security market trades, FX transactions, and so on. With Settlement Manager, you can handle all the various post-trade processing tasks with automated workflows in a one-platform system.

The solution allows you to efficiently manage all instruments including OTC derivatives, money market, and FX. With a single database design, you can rest assured that only one version of the data is used throughout your organization, while also being able to eliminate many manual reconciliation tasks.

# WORKING IN OPERATIONS REQUIRES A STRUCTURED APPROACH TO RECONCILIATION AND CONFIRMATION IN **THE SETTLEMENT PROCESS**

With Settlement Manager, you benefit from automated post-trade processing workflows and connections to custody and depository providers. This minimizes the amount of manual tasks you have to do, saves you time, and increases your operational efficiency. Also your operational risk is reduced and, since manual intervention is minimized, you can scale your business smoothly and efficiently without a corresponding increase in manual costs.



## SIMCORP DIMENSION MANAGERS, EXPLAINED

Our integrated system is made up of different components covering all functions in your front, middle, and back offices. We call these components 'Managers' because they refer to the relevant role they support within your company.

Throughout the investment management value chain, your operations are in the hands of different people in different roles, where each role has its unique requirements. This is why we've built SimCorp Dimension in a role-based manner so that all your roles (Managers) are covered, front-to-back.

# ACHIEVE COMPREHENSIVE TRADE PROCESSING THROUGH EFFICIENT WORKFLOWS

Settlement Manager equips you with a tool to smoothly handle your many post-trade processing tasks. It also accurately maintains positions while widely automating reconciliation, confirmation, and settlement workflows. Finally, you benefit from the system's seamless processing design, with all functions operating around the same core database, granting you operational efficiencies.

## RELIEVE PRESSURE OF ROUTINE PROCESSING

The solution's automated workflows ensure that standard processes for matching, confirmation, and settlement are achieved without human intervention, relieving you of all the concerns associated with routine processing. What's more, automated connections to local, regional, and global custody and depository service providers save you additional time and reduce operational risk.

## EFFICIENT AND ACCURATE POSITION MAINTENANCE

Settlement Manager's straight-through-processing and efficient workflows help you to handle everyday securities operations more effectively, making the task of maintaining positions accurately less onerous. Automating some of the simpler processes saves you time and effort as the solution takes care of many of the individual steps, such as sourcing data, reconciling it with external entities, communicating internally across the organization, creating accounting entries and reporting. It also dispenses with some of your worries about increased complexity when the range of instruments is expanded to include alternatives, OTC derivatives and exotics, money market and FX.

## SIMPLIFIED OPERATIONS WITH INCREASED AUTOMATION

The solution enables you to automate many of your tasks completely, reducing the chances of error dramatically and allowing you to focus exclusively on exceptions, options, or particularly unusual situations. And because Settlement Manager operates around the same core database, you can greatly simplify your operations. With only one version of data used throughout your organization, you can rest assured that consistency is guaranteed and you can eliminate many tasks, such as data re-keying and reconciliation.

# INCREASE OPERATIONAL EFFICIENCY WITH SETTLEMENT MANAGER

- Reduces operational risk as your post-trade processing workflows and automated connections to custody and depository service providers minimize manual intervention.
- Increases operational efficiency through its seamless processing design, with all functions operating around a single database, saving you time.
- Saves post-trade processing costs as straight-through-processing (STP) and full process overview increase your productivity and reduce your manual interaction.
- Reduces manual work as automated workflows handle every step in the critical post-trade process, minimizing your daily routine tasks and allowing you to focus on exception handling and special events.
- Facilitates growth through automated workflows that enable you to process more trades, allowing you to scale your business and handle increasing volumes without ramping up settlement personnel costs.

# THE TOOLS YOU NEED TO ENSURE EFFICIENT POST-TRADE PROCESSING

Settlement Manager equips you with all the tools required to support your post-trade processing tasks and workflows, and you can add these as you see fit to suit your operational needs. In addition to SWIFT messaging, you can also benefit from adding the Omgeo CTM matching functionality to your workflows.

## OMGEO CTM MATCH INTERFACE

With the interface between Settlement Manager and Central Trade Manager, you have an efficient way of streamlining trade flows and achieving higher levels of automation. The interface primarily supports matching of equities and bonds but it also supports other instrument types. Utilizing the Omgeo CTM block level workflow means you can submit a block trade (or have Omgeo automatically generate one), and then submit allocations against the block. You deploy the Central Trade Manager window, which is part of the solution, for exception-handling, thereby removing the need for logging on to the CTM Monitor that Omgeo provides.

## MARKITSERV INTERFACE

The interface between Settlement Manager and MarkitSERV is based on the MarkitSERV Trade Manager (MTM). With the interface, you match centrally cleared OTC derivatives as well as bilaterally traded OTC contracts. You receive status messages from MarkitSERV and they are displayed in Transaction Status, allowing you to track exceptions, such as mismatches or trades intended for clearing that have yet to be cleared.

## RECONCILIATION

The reconciliation functionality available in the business foundation enables you to compare and match the holdings with holdings from other sources, e.g. custodians, by applying user-defined matching rules. You can also reconcile market values based on portfolio calculations or transactions as well as reconcile expected payments and check the status of payments.

## TRADE PROCESSING DASHBOARD AND ALERTS

The Trade Processing Dashboard and Alerts provide you with a consolidated and prioritized, real-time, graphical view of your trade-processing environment. Automated real-time updating ensures that the dashboard always reflects all your changes in one graphical snapshot, with views across asset classes, custodians/brokers, third-party settlement, and matching utilities. The Alerts Inbox allows you to identify issues that require attention, and drill down to resolve these with just a few clicks.

# READY, SET, GROW

Settlement Manager belongs to SimCorp’s portfolio of integrated front-to-back solutions for business process automation in investment management. Efficient workflows seamlessly integrate your organization and provide accurate and up-to-date information when you need it, empowering you and your business to mitigate risk, reduce cost, and enable growth.

SimCorp’s flexible and scalable solutions allow you to capitalize on opportunities as they arise and swiftly adapt to changes in business requirements. Leading investment management institutions worldwide rely on SimCorp solutions to provide optimal business conditions and secure competitive advantage. Get ready for growth with SimCorp.

**Explore SimCorp’s solutions at [www.simcorp.com/en/solutions](http://www.simcorp.com/en/solutions)**

## SIMCORP DIMENSION - AN INTEGRATED SYSTEM



## ABOUT SIMCORP

SimCorp provides integrated, best-in-class investment management solutions to the world's leading asset managers, fund managers, asset servicers, pension and insurance funds, wealth managers and sovereign wealth funds. Whether deployed on premise or as an ASP solution, its core system, SimCorp Dimension, supports the entire investment value chain and range of instruments, all based on a market-leading IBOR. SimCorp invests more than 20% of its annual revenue in R&D, helping clients develop their business and stay ahead of ever-changing industry demands. Listed on NASDAQ Copenhagen, SimCorp is a global company, regionally covering all of Europe, North America, and Asia Pacific.

For more information, please visit [www.simcorp.com](http://www.simcorp.com).

## ONE SYSTEM FOR A COMPLEX WORLD



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